CÔNG TY CỔ PHẦN CHỨNG KHOÁN HD
Digitally signed by CÔNG TY CỔ PHẦN CHỨNG KHOÁN HD
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TRANSLATION

HD SECURITIES CORPORATION

No.: 09/2026/NQ-DHDCD

Ho Chi Minh City, October 23, 2026

RESOLUTION

Re: Report on the implementation status of the initial public offering plan

GENERAL MEETING OF SHAREHOLDERS
HD SECURITIES CORPORATION

- *Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and the Law on Securities No. 54/2019/QH14 dated November 26, 2019, and their amending, supplementing and guiding documents;*
- *Pursuant to Decree No. 155/2020/ND-CP dated December 31, 2020 of the Government and its amending, supplementing and guiding documents;*
- *Pursuant to the Charter of HD Securities Corporation;*
- *Pursuant to Resolution of the General Meeting of Shareholders No. 03/2026/NQ-DHDCD dated April 23, 2026 on the approval of the plan to increase charter capital through the initial public offering of shares, the initial public offering plan, the plan for use of proceeds from the offering and the listing of securities ("Resolution 03");*
- *Pursuant to Resolution of the Board of Directors No. 62/2026/NQ-HDQT dated September 29, 2026 on the detailed implementation of the initial public offering plan, the plan for use of proceeds from the offering and the listing of securities ("Resolution 62");*
- *Pursuant to Resolution of the Board of Directors No. 67/2026/NQ-HDQT dated October 6, 2026 on the nomination of a candidate for the additional election of an independent member of the Board of Directors and the supplementation of the matters for collecting shareholders' written opinions;*
- *Pursuant to the Minutes of Vote Counting for Collecting Shareholders' Written Opinions No. 02/2026/BBKP-DHDCD dated October 23, 2026.*

RESOLVES:

Article 1. To approve the Report on the implementation status of the initial public offering plan approved by the General Meeting of Shareholders ("GMS") under Resolution 03 (the "Issuance Plan") with the following contents:

Under Resolution 03, the GMS approved the following:

- Number of outstanding shares: 146,142,571 shares[1]
- Expected number of shares to be offered: up to 164,410,392 shares
- Offering ratio: up to 15.0000% of the number of outstanding shares

At the same time, the GMS approved the assignment and authorization to the Board of Directors ("BOD") to implement the Issuance Plan in detail.

Based on the BOD's assessment of market conditions in Q2 – Q4/2026 and the Company's capital use plan, within the BOD's authority and in compliance with the contents approved by the GMS under Resolution 03, the BOD approved the following under Resolution 62:

- Number of outstanding shares: 1,096,069,282 shares[2]
- Expected number of shares to be offered: 121,785,476 shares

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[1] *Number of outstanding shares at the time the GMS approved the Issuance Plan under Resolution 03*

[2] *Number of outstanding shares at present, after completion of the charter capital increase approved by the GMS under Resolution No. 05/2025/NQ-DHDCD dated November 07, 2025*

- Offering ratio: up to 11.1111% of the number of outstanding shares[3]

Article 2. The GMS assigns and authorizes the BOD to continue implementing the other contents of the Issuance Plan approved by the General Meeting of Shareholders under Article 4 of Resolution 03.

Article 3. This Resolution takes effect from the date of signing. Members of the Board of Directors, the Board of Supervisors, the General Director, Division Directors, Heads of Departments at the Head Office/Branches and relevant units and individuals shall be responsible for implementing this Resolution.

Recipients:
- As Article 3;
- Archived at: BOD Office.

ON BEHALF OF THE GENERAL MEETING OF SHAREHOLDERS
Chairman of the BOD

(signed and stamped)

Vu Huu Dien

[3] *This ratio is rounded up from the decimal figure: 121,785,476 / 1,096,069,282 = 11.11111111%*

TRANSLATION

HD SECURITIES CORPORATION

No.: 16/2026/TTr-HDQT *Ho Chi Minh City, October 06, 2026*

PROPOSAL

Re: Report on the implementation status of the initial public offering plan

To: The General Meeting of Shareholders of HD Securities Corporation

- *Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 and its amending, supplementing and guiding documents;*
- *Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019 and its amending, supplementing and guiding documents;*
- *Pursuant to Decree No. 155/2020/ND-CP dated December 31, 2020 of the Government and its amending, supplementing and guiding documents;*
- *Pursuant to the Charter of HD Securities Corporation;*
- *Pursuant to Resolution of the General Meeting of Shareholders No. 03/2026/NQ-DHDCD dated April 23, 2026 on the approval of the plan to increase charter capital through the initial public offering of shares, the initial public offering plan, the plan for use of proceeds from the offering and the listing of securities ("**Resolution 03**");*
- *Pursuant to Resolution of the Board of Directors No. 62/2026/NQ-HDQT dated September 29, 2026 on the detailed implementation of the initial public offering plan, the plan for use of proceeds from the offering and the listing of securities ("**Resolution 62**");*
- *Pursuant to Resolution of the Board of Directors No. 67/2026/NQ-HDQT dated October 6, 2026 on the nomination of a candidate for the additional election of an independent member of the Board of Directors and the supplementation of the matters for collecting shareholders' written opinions;*

Dear the General Meeting of Shareholders,

The Board of Directors (BOD) of HD Securities Corporation (the Company) respectfully submits to the General Meeting of Shareholders (GMS) the report on the implementation status of the initial public offering plan approved by the GMS under Resolution 03 (the Issuance Plan) with the following contents:

1. Basis and implementation status

Under Resolution 03, the GMS approved the following:

- Number of outstanding shares: 146,142,571 shares[4]
- Expected number of shares to be offered: up to 164,410,392 shares
- Offering ratio: up to 15.0000% of the number of outstanding shares

At the same time, the GMS approved the assignment and authorization to the BOD to implement the Issuance Plan in detail.

Based on the BOD's assessment of market conditions in Q2 – Q4/2026 and the Company's capital use plan, within the BOD's authority and in compliance with the contents approved by the GMS under Resolution 03, the BOD approved the following under Resolution 62:

- Number of outstanding shares: 1,096,069,282 shares[5]
- Expected number of shares to be offered: 121,785,476 shares

[4] *Number of outstanding shares at the time the GMS approved the Issuance Plan under Resolution 03*

[5] *Number of outstanding shares at present, after completion of the charter capital increase approved by the GMS under Resolution No. 05/2025/NQ-DHDCD dated November 07, 2025*

- Offering ratio: up to 11.1111% of the number of outstanding shares[6]

2. Other contents and implementation

The other contents of the Issuance Plan shall continue to be implemented by the BOD strictly in accordance with the contents approved by the GMS under Article 4 of Resolution 03.

We respectfully submit this to the GMS for consideration and approval.

Sincerely./.

ON BEHALF OF THE BOARD OF DIRECTORS
Chairman

(signed and stamped)

Vu Huu Dien

Note:

The document is made in Vietnamese and English. In the event of any discrepancy or inconsistency in interpretation between the Vietnamese and English versions, the Vietnamese version shall prevail and be used as the legal basis.

[6] *This ratio is rounded up from the decimal figure: 121,785,476 / 1,096,069,282 = 11.11111111%*