CÔNG TY CỔ PHẦN CHỨNG KHOÁN HD
Digitally signed by CÔNG TY CỔ PHẦN CHỨNG KHOÁN HD
DN: C=VN, S=HỒ CHÍ MINH, L=Quận 1, CN=CÔNG TY CỔ PHẦN CHỨNG KHOÁN HD, OID.0.9.2342.19200300.100.1.1=MST:0304765811
Reason: I agree to specified parts of this document
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TRANSLATION

MINISTRY OF FINANCE	**SOCIALIST REPUBLIC OF VIETNAM**
STATE SECURITIES COMMISSION	**Independence – Freedom – Happiness**
No.: 47/UBCK-GPHDKD	*Hanoi, December 28, 2006*

THE CHAIRMAN OF THE STATE SECURITIES COMMISSION

Pursuant to Decree No. 144/2003/ND-CP dated November 28, 2003 of the Government on securities and the securities market;

Pursuant to Decision No. 161/2004/QD-TTg dated September 07, 2004 of the Prime Minister defining the functions, duties, powers and organizational structure of the State Securities Commission;

Considering the application dossier for the securities business license of Phu Gia Securities Corporation dated August 15, 2006;

At the proposal of the Head of the Securities Business Management Department,

DECIDES:

Article 1. To grant the Securities Business License to:

PHU GIA SECURITIES CORPORATION

- Trading name: PHU GIA SECURITIES CORPORATION.
- Head office: No. 13A Tu Xuong, Ward 7, District 3, Ho Chi Minh City.
- Business Registration Certificate No. 4103005804 first registered by the Ho Chi Minh City Department of Planning and Investment on December 27, 2006.
- Charter capital: VND 43,000,000,000 (forty-three billion dong).

Article 2. Phu Gia Securities Corporation is permitted to conduct the following business operations:

1. Securities brokerage;
2. Securities proprietary trading;
3. Securities underwriting;
4. Financial and securities investment advisory;
5. Securities depository.

Article 3. During its operation, Phu Gia Securities Corporation shall comply with the laws of Vietnam on securities and the securities market, other relevant legal documents and the Company's Charter.

Article 4. This License takes effect from the date of signing. Within 12 months from the date of grant of the Securities Business License, Phu Gia Securities Corporation must commence its operations. After the above time limit, if the Company fails to commence its operations, this License shall automatically cease to be valid.

Article 5. This License is made in two (02) originals: one (01) issued to Phu Gia Securities Corporation and one (01) registered at the State Securities Commission.

CHAIRMAN

(signed and stamped)

Vu Bang

Note:

The document is made in Vietnamese and English. In the event of any discrepancy or inconsistency in interpretation between the Vietnamese and English versions, the Vietnamese version shall prevail and be used as the legal basis.

TRANSLATION

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No.: 67/GPDC-UBCK

Hanoi, June 05, 2026

AMENDED LICENSE
License for Establishment and Operation of Securities Business
THE CHAIRMAN OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/ND-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated September 11, 2025;

Pursuant to Resolution No. 24/2026/NQ-CP dated April 29, 2026 of the Government on reducing, decentralizing and simplifying administrative procedures and business conditions in the fields of national defense, home affairs, finance, construction, foreign affairs, justice and banking;

Pursuant to Decision No. 686/QD-BTC dated February 28, 2025 of the Minister of Finance defining the functions, duties, powers and organizational structure of the State Securities Commission;

Pursuant to Securities Business License No. 47/UBCK-GPHDKD dated December 28, 2006 issued by the Chairman of the State Securities Commission to Phu Gia Securities Corporation (now HD Securities Corporation);

Pursuant to the application dossier for change of charter capital and the documents requesting the update of head office address information due to changes in administrative boundaries of HD Securities Corporation;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To amend Securities Business License No. 47/UBCK-GPHDKD dated December 28, 2006 issued by the Chairman of the State Securities Commission to HD Securities Corporation as follows:

– Charter capital: VND 10,960,692,820,000 (Ten trillion, nine hundred sixty billion, six hundred ninety-two million, eight hundred twenty thousand dong only).

– Head office location: No. 23 A-B, Nguyen Dinh Chieu Street, Sai Gon Ward, Ho Chi Minh City and 9th Floor, No. 58 Nguyen Dinh Chieu, Sai Gon Ward, Ho Chi Minh City.

Article 2. This Amended License takes effect from the date of signing and constitutes an integral part of Securities Business License No. 47/UBCK-GPHDKD dated December 28, 2006 issued by the Chairman of the State Securities Commission to HD Securities Corporation.

Article 3. This Amended License is made in two (02) originals: 01 issued to HD Securities Corporation and 01 kept at the State Securities Commission./.

FOR THE CHAIRMAN
VICE CHAIRWOMAN

(signed and stamped)

Le Thi Viet Nga

Note:

The document is made in Vietnamese and English. In the event of any discrepancy or inconsistency in interpretation between the Vietnamese and English versions, the Vietnamese version shall prevail and be used as the legal basis.