CÔNG TY CỔ PHẦN CHỨNG KHOÁN HD
Digitally signed by CÔNG TY CỔ PHẦN CHỨNG KHOÁN HD
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TRANSLATION

MINISTRY OF FINANCE
STATE SECURITIES COMMISSION

No.: 774/GCN-UBCK

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Hanoi, November 23rd, 2026

CERTIFICATE
Registration for the Initial Public Offering of Shares

THE CHAIRMAN OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15 (hereinafter referred to as the Law on Securities);

Pursuant to Decree No. 155/2020/ND-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated 11 September 2025;

Pursuant to Circular No. 118/2020/TT-BTC dated 31 December 2020 of the Minister of Finance guiding a number of contents on the offering and issuance of securities, tender offers, share repurchases, public company registration and cancellation of public company status, as amended and supplemented by Circular No. 115/2025/TT-BTC dated 15 December 2025;

Pursuant to Circular No. 19/2025/TT-BTC dated 05 May 2025 of the Minister of Finance providing for public company registration, cancellation of public company status, and reporting on audited contributed charter capital, as amended and supplemented by Circular No. 80/2026/TT-BTC dated 30 June 2026;

Pursuant to Decision No. 3336/QD-BTC dated 13 November 2026 of the Minister of Finance defining the functions, duties, powers and organizational structure of the State Securities Commission;

Based on the dossier of registration for the initial public offering of shares of HD Securities Joint Stock Company;

At the proposal of the Head of the Securities Business Management Department.

HEREBY DECIDES:

Article 1. To grant the Certificate of registration for the initial public offering of shares to:

HD SECURITIES JOINT STOCK COMPANY

- Securities Business License No. 47/UBCK-GPHĐKD dated 28 December 2006.

- Charter capital: VND 10,960,692,820,000 *(In words: Ten trillion, nine hundred sixty billion, six hundred ninety-two million, eight hundred twenty thousand Vietnam dong)*.

- Enterprise Registration Certificate No. 0304765811 issued by the Department of Planning and Investment of Ho Chi Minh City (now the Department of Finance of Ho Chi Minh City), first registered on 27 December 2006, and amended for the 10th time on 04 November 2026.

Article 2. The shares of HD Securities Joint Stock Company shall be offered to the public on the following terms:

1. Type of securities: common shares.

2. Par value per share: VND 10,000 *(In words: Ten thousand Vietnam dong)*.

3. Total number of shares offered: 121,785,476 shares *(In words: One hundred twenty-one million, seven hundred eighty-five thousand, four hundred seventy-six shares)*.

4. Total offering value at par value: VND 1,217,854,760,000 *(In words: One trillion, two hundred seventeen billion, eight hundred fifty-four million, seven hundred sixty thousand Vietnam dong)*.

5. Distribution period: Within 90 days from the effective date of the Certificate of offering registration.

6. Distribution method: In accordance with the plan set out in the Prospectus.

Article 3. HD Securities Joint Stock Company shall comply with the laws of Vietnam, the regulations on securities and the securities market, and the contents of this Certificate. HD Securities Joint Stock Company and the organizations and individuals involved in the dossier shall comply with the provisions of Article 11a of the Law on Securities and Clause 1, Article 6 of Decree No. 155/2020/ND-CP, as amended and supplemented by Point b, Clause 3, Article 1 of Decree No. 245/2025/ND-CP.

Article 4. The State Securities Commission shall receive and process the dossier in accordance with Clause 2, Article 11a of the Law on Securities and Point d, Clause 1, Article 6 of Decree No. 155/2020/ND-CP, as amended and supplemented by Point b, Clause 3, Article 1 of Decree No. 245/2025/ND-CP.

Article 5. This Certificate takes effect from the date of signing.

Article 6. This Certificate is made in five (05) originals: 01 copy issued to HD Securities Joint Stock Company, 02 copies kept at the State Securities Commission, 01 copy sent to the Ho Chi Minh City Stock Exchange, and 01 copy sent to the Vietnam Securities Depository and Clearing Corporation./.

ON BEHALF OF THE CHAIRMAN
VICE CHAIRPERSON

(Signed and sealed)

Le Thi Viet Nga